



**Department of Mechanical Engineering**

**ME4 Individual Project**

***'Topology Optimisation of Lattice Structure Additive  
Manufactured Knee Implants'***

**Seminar**

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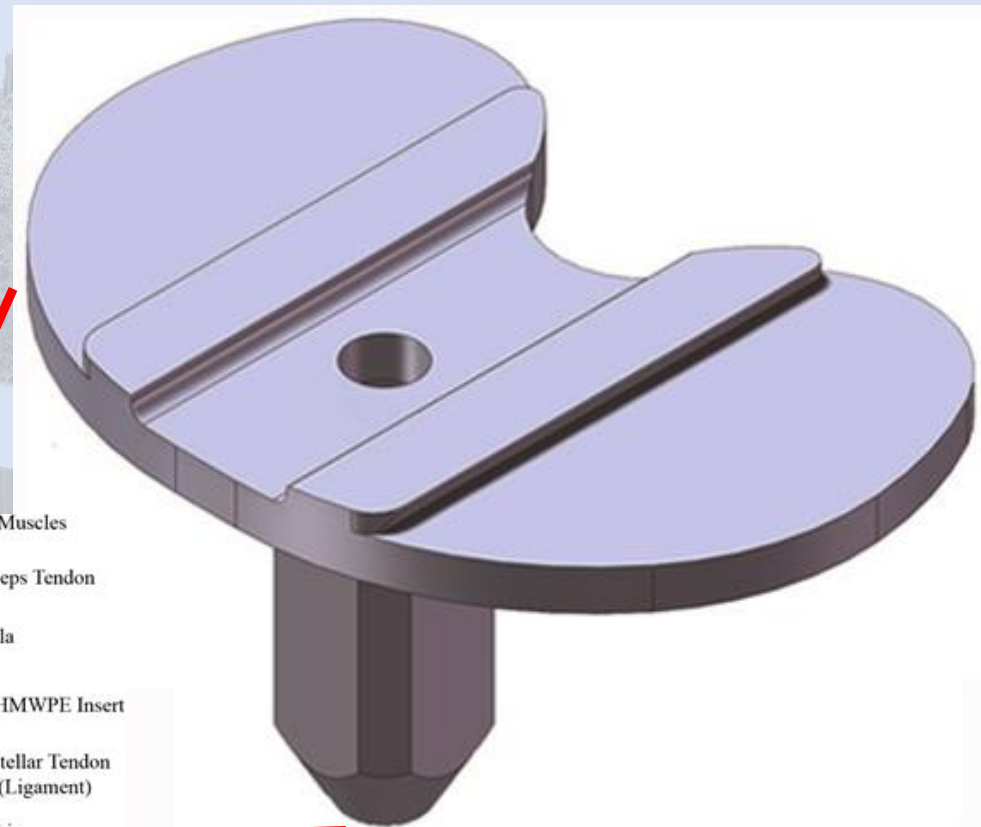
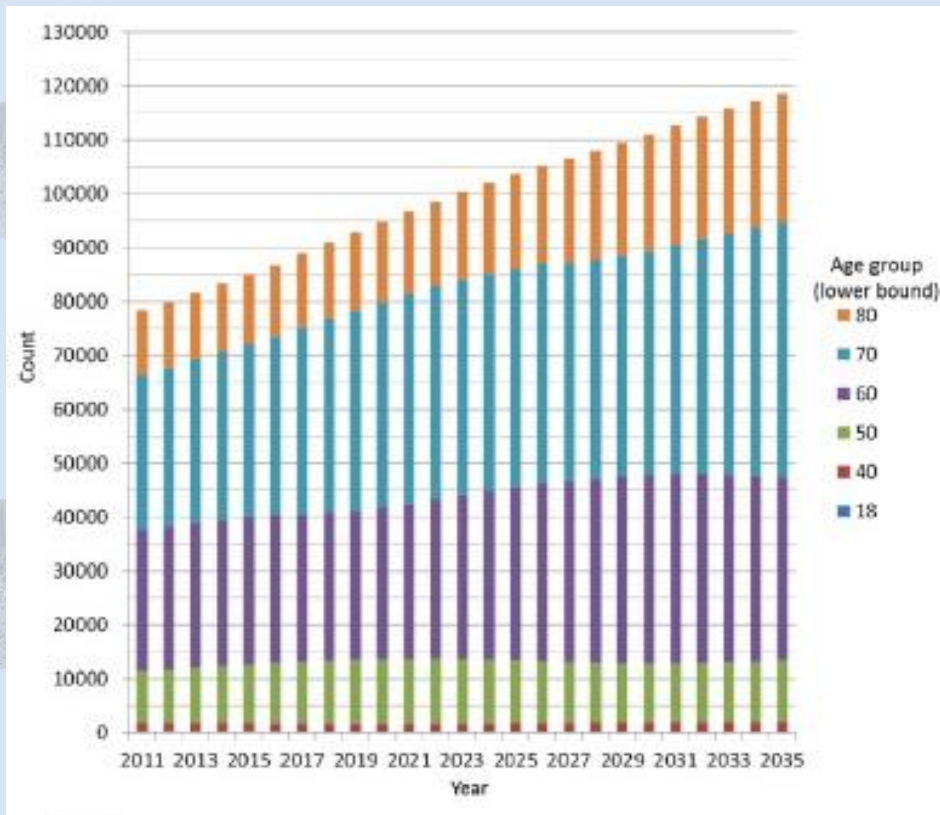
**Supervisor: Jonathan Jeffers**

**Date: 09/06/21**

## Introduction and Background

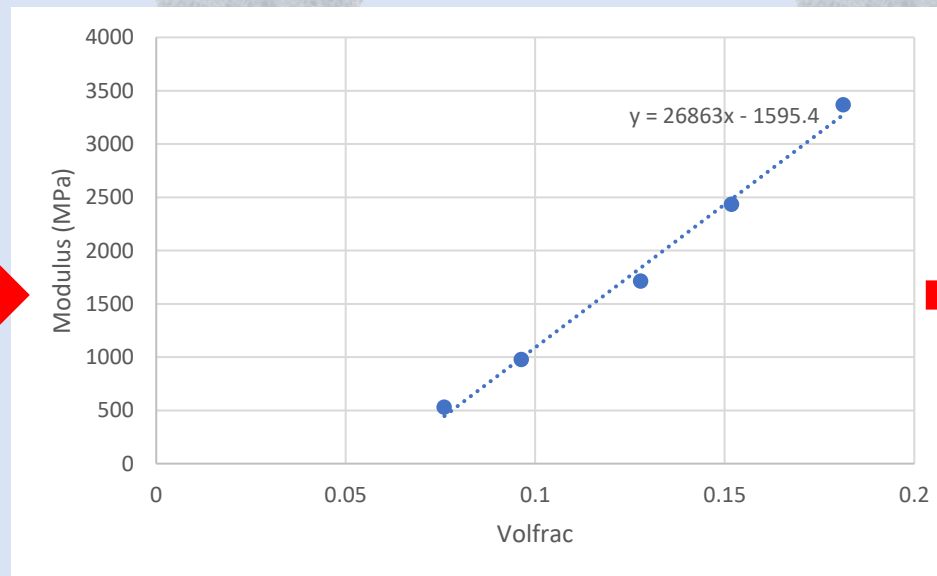
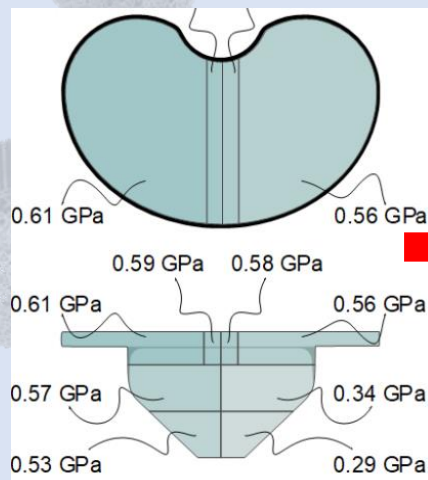
- Rising demand for knee implants.

- Tibial tray component of knee implant resurfaces tibia.



## Introduction and Background

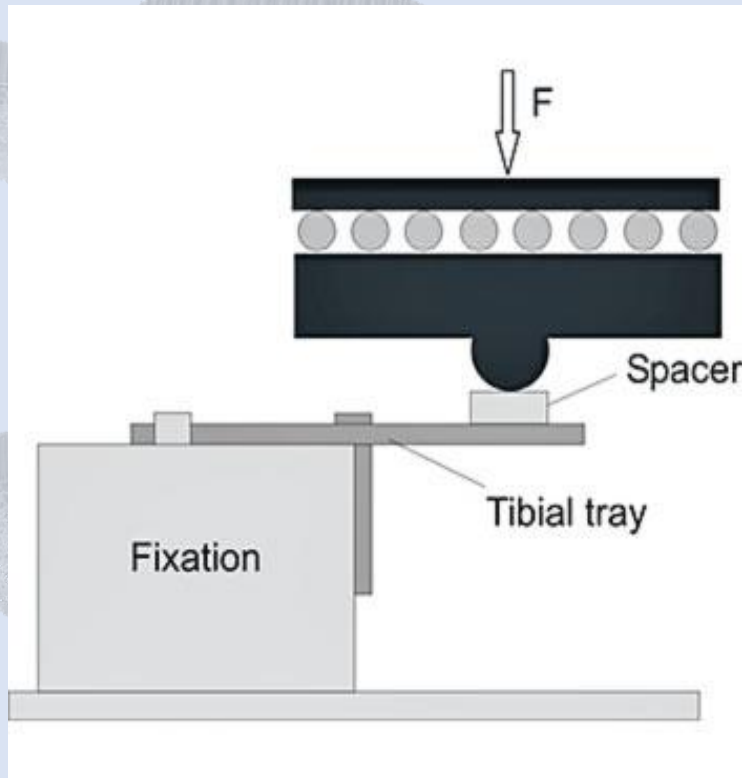
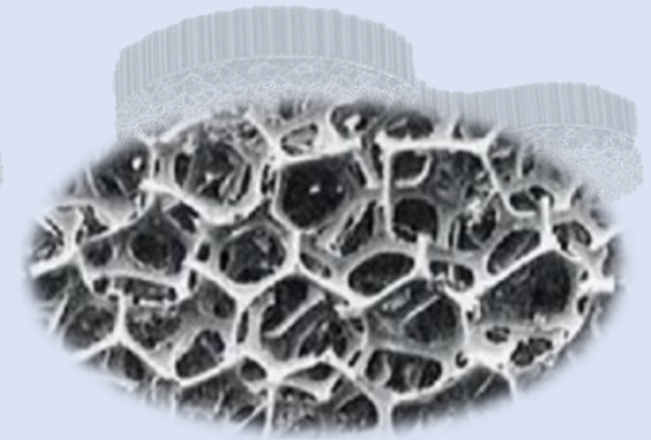
- Standard modern knee implants (~110 GPa) have far greater modulus than surrounding bone → **stress shielding** effect.
- This **impedes effective bone regeneration** and leads to bone weakening → **implant loosening and complications**.
- The Biomechanics Group are developing a lattice structure knee implant.
- By establishing a linear relation, the modulus targets could be translated into lattice **volume fraction** targets.



| Region  | Modulus Target (GPa) | Volume Fraction Target |
|---------|----------------------|------------------------|
| Left 1  | 0.56                 | <b>0.080</b>           |
| Left 2  | 0.34                 | <b>0.072</b>           |
| Left 3  | 0.29                 | <b>0.070</b>           |
| Right 1 | 0.61                 | <b>0.082</b>           |
| Right 2 | 0.57                 | <b>0.081</b>           |
| Right 3 | 0.53                 | <b>0.079</b>           |

## Introduction and Background

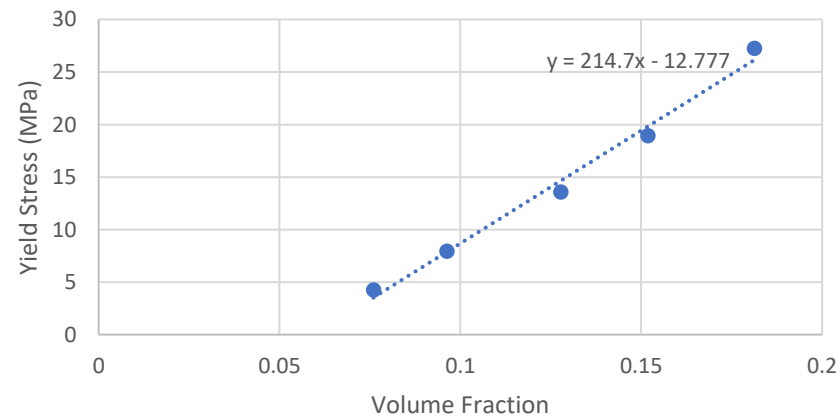
- Fully modulus matched tibial tray will not be strong enough to pass the **ISO load cycling test** which defines fatigue requirement for clinical use.
- To pass, 5 specimens must survive 10 million cycles of a 900 N load:



- Predicting lattice fatigue behaviour by S-N curve is complex:

$$S^* = C_A A_S \left( \frac{\rho^*}{\rho_S} \right)^{n_A} N_f^{*C_b b_S}$$

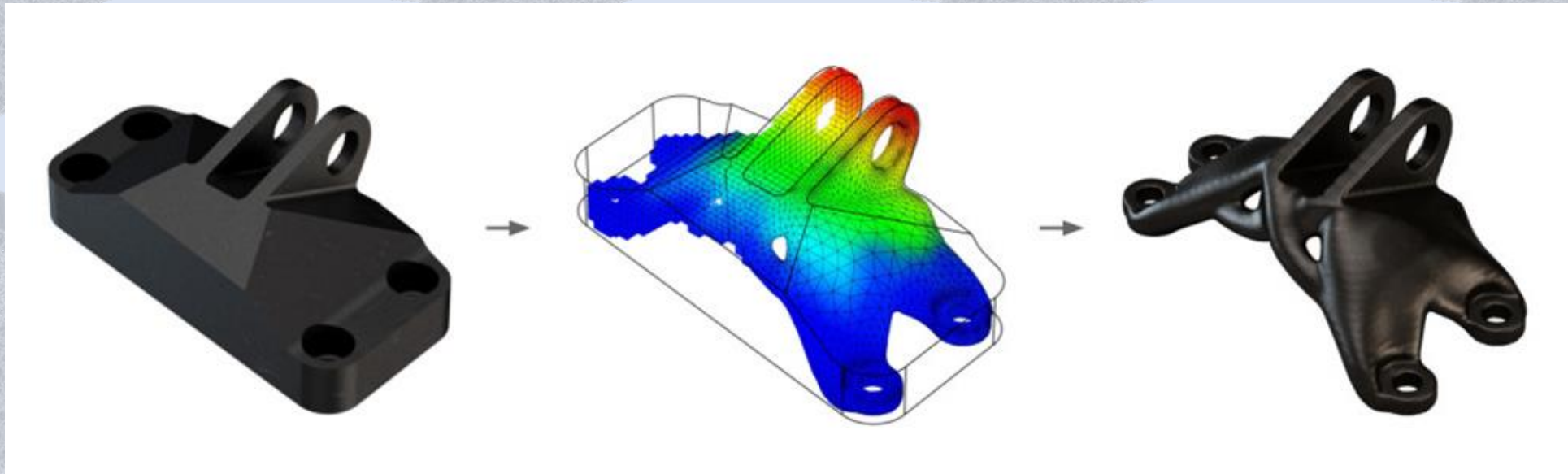
- Therefore focus was on **yielding-prevention** under ISO test's static 900 N load instead, by considering empirical volume fraction-yield stress relation.



## Project Aim and Overview

**Aim: To adapt the design of the lattice structure knee implant to improve yielding behaviour when exposed to a static ISO test load, whilst maintaining the favourable bone remodelling properties.**

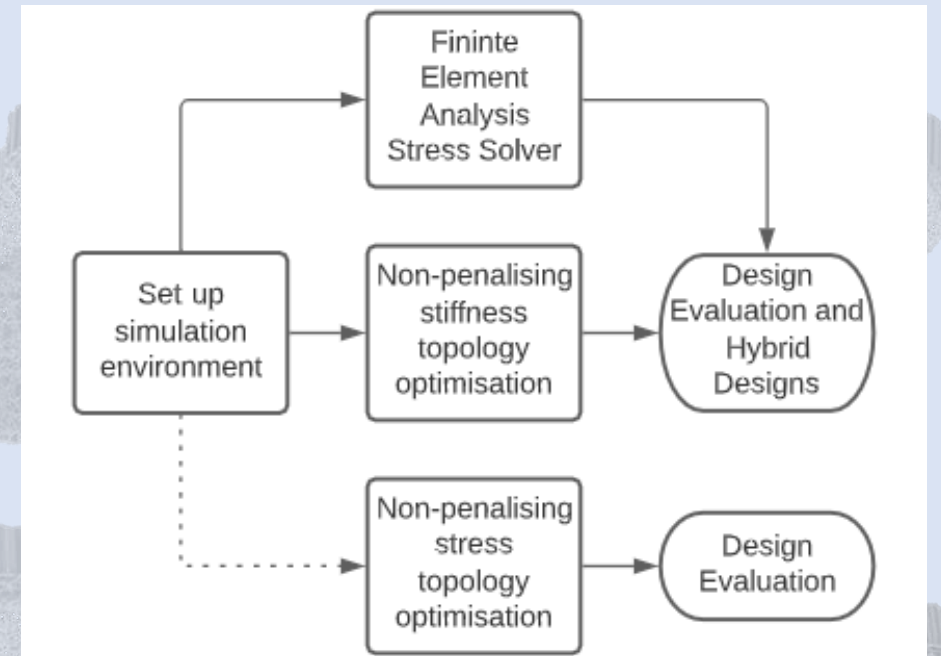
- This would make use of the **topology optimisation** approach.
- Typically applied to **binary compliance problems** via a **Solid Isotropic Material Penalisation** approach.



## Project Aim and Overview

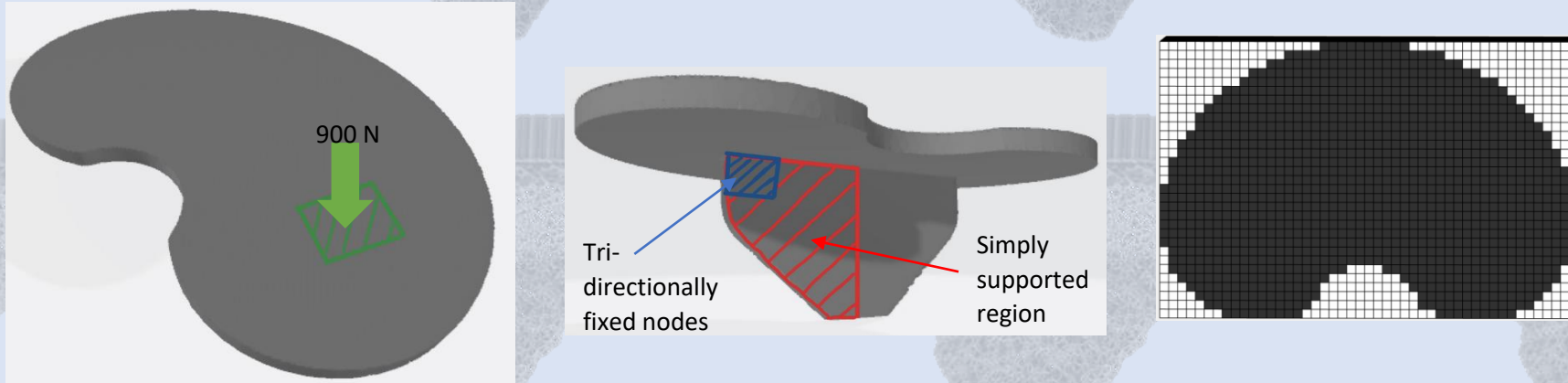
- Three main approaches were taken to the project aim, with resulting designs compared to the fully modulus-matched *'control'* design:

1. Developing a non-SIMP stiffness maximising topology optimisation with regional average volume fraction constraints
2. Developing a looped stress limiting process
3. Developing a non-SIMP stress constrained topology optimisation



## Simulation Environment and Validation

- Implemented **meshing** of CAD model in MATLAB and application of **loading and fixing condition**.



- Created structure **global stiffness and von mises stress matrices** through finite element methods.

$$K(\rho) = \sum_{e=1}^n E_e \cdot K_e^0; [K_e^0] = \int B^T C^0 B dVol$$

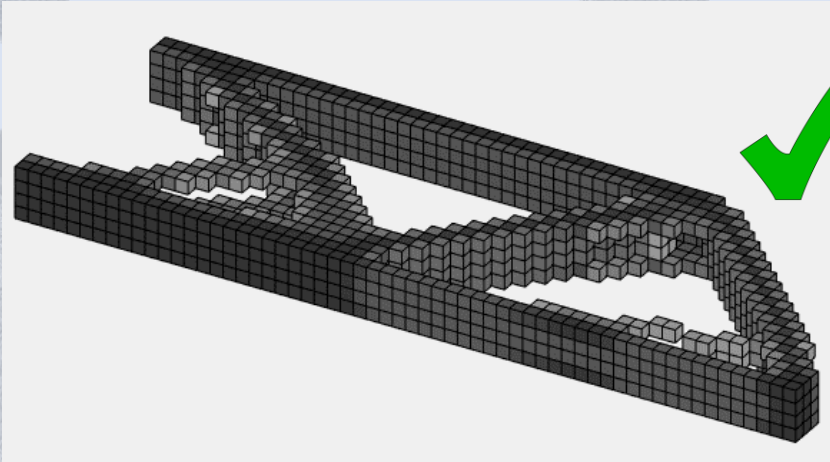
$$\sigma_e^{VM} = \left( \sigma_{e_x}^2 + \sigma_{e_y}^2 + \sigma_{e_z}^2 - \sigma_{e_x}\sigma_{e_y} - \sigma_{e_y}\sigma_{e_z} - \sigma_{e_z}\sigma_{e_x} + 3\sigma_{e_{xy}}^2 + 3\sigma_{e_{yz}}^2 + 3\sigma_{e_{xz}}^2 \right)^{\frac{1}{2}}; \sigma^e = [C^0][B][u_e]E_e =$$

$$\begin{bmatrix} \sigma_{e_x} \\ \sigma_{e_y} \\ \sigma_{e_z} \\ \sigma_{e_{xy}} \\ \sigma_{e_{xz}} \\ \sigma_{e_{yz}} \end{bmatrix}$$

- Also implemented **adjoint method optimality criteria algorithm** for stiffness maximising topology optimisation.

## Simulation Environment and Validation

- Running SIMP stiffness maximising topology optimisation on a cantilever beam CAD model with appropriate loading and fixing condition reproduced standard result:



Developed non-SIMP stiffness maximising topology optimisation with regional average volume fraction targets

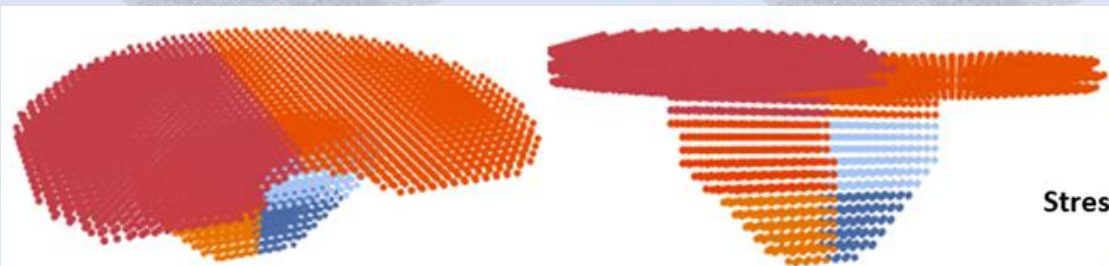
- Comparing simulation results for built-in stress of beam and end displacement to hand-calculated values showed similarity to an acceptable error:

|                                       | Hand Calc. Value | Value from Script | Error |
|---------------------------------------|------------------|-------------------|-------|
| End $u$ , $F_N = 5N$                  | 0.135 m          | 0.152 m           | 12.6% |
| Built in max $\sigma_x$ , $F_N = 5N$  | -1.13 MPa        | -1.26 MPa         | 11.5% |
| Built in max $\sigma_x$ , $F_N = 90N$ | -20.25 MPa       | -22.66 MPa        | 11.9% |

Developed looped stress limited approach



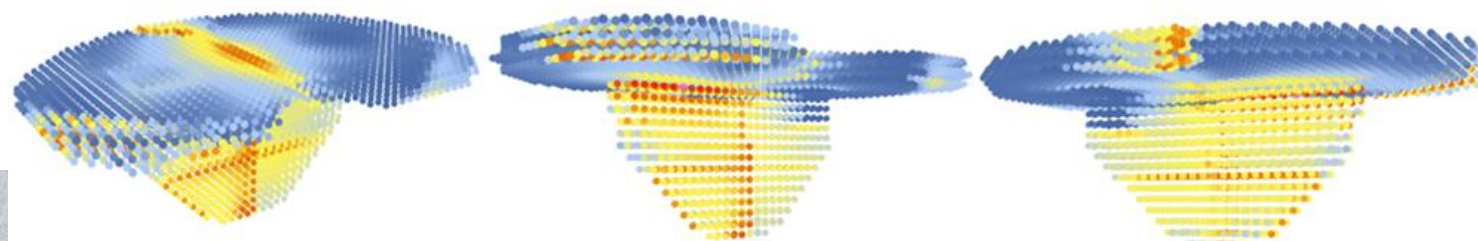
## Fully Modulus-Matched *'Control'* Result



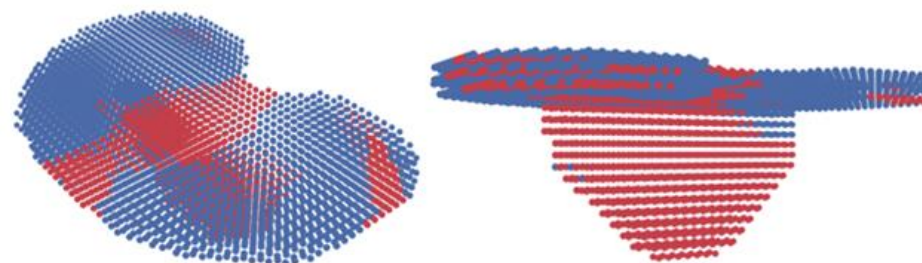
*Volume fraction distribution*

- Maximum stress:  
22.2 MPa
- Percentage predicted element failures:  
**40.3%**
- Overall average volume fraction:  
0.08
- Percentage average deviation from  
modulus targets:  
**0%**

Stresses

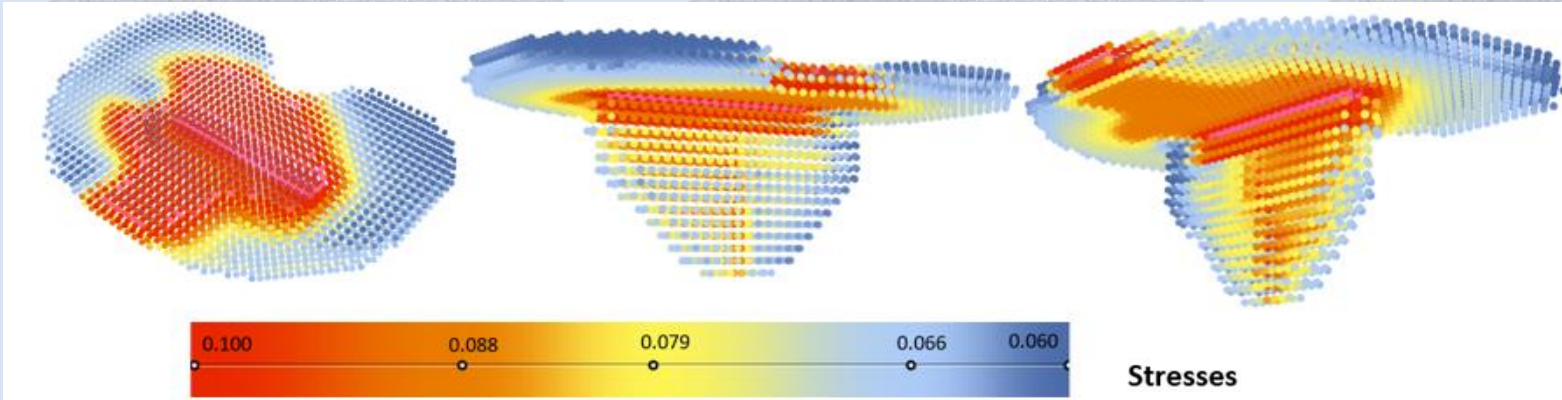


Failures



*Stress and failure distribution*

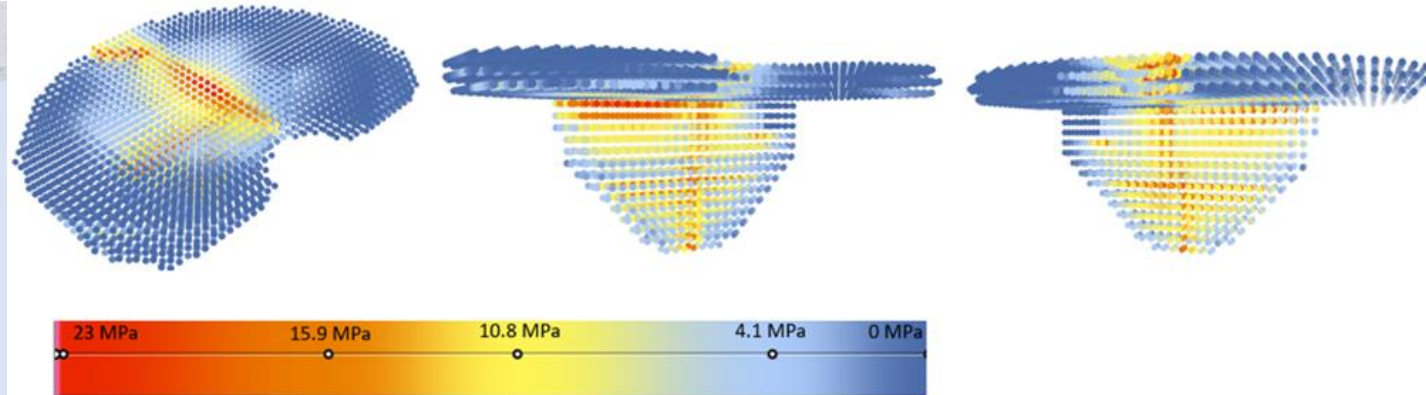
## Non-SIMP Stiffness Maximising '*Stiffness Top Op*' Result



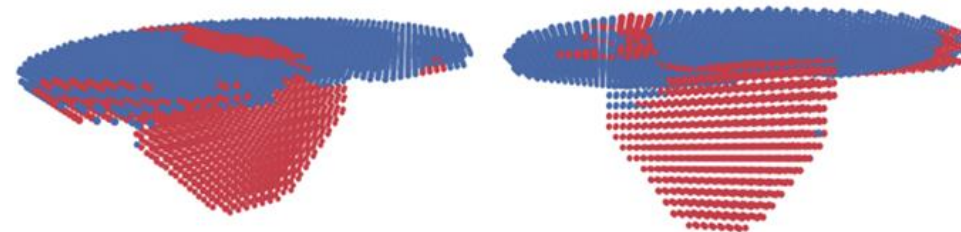
*Volume fraction distribution*

- Maximum stress:  
23.1 MPa
- Percentage predicted element failures:  
**33.5%**
- Overall average volume fraction:  
0.08
- Percentage average deviation from  
modulus targets:  
**14.3%**

Stresses



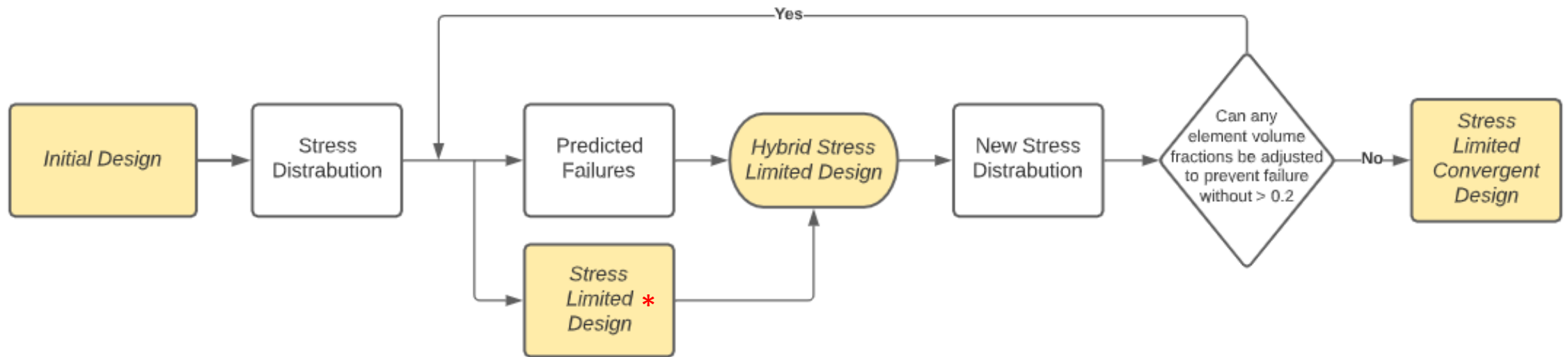
Failures



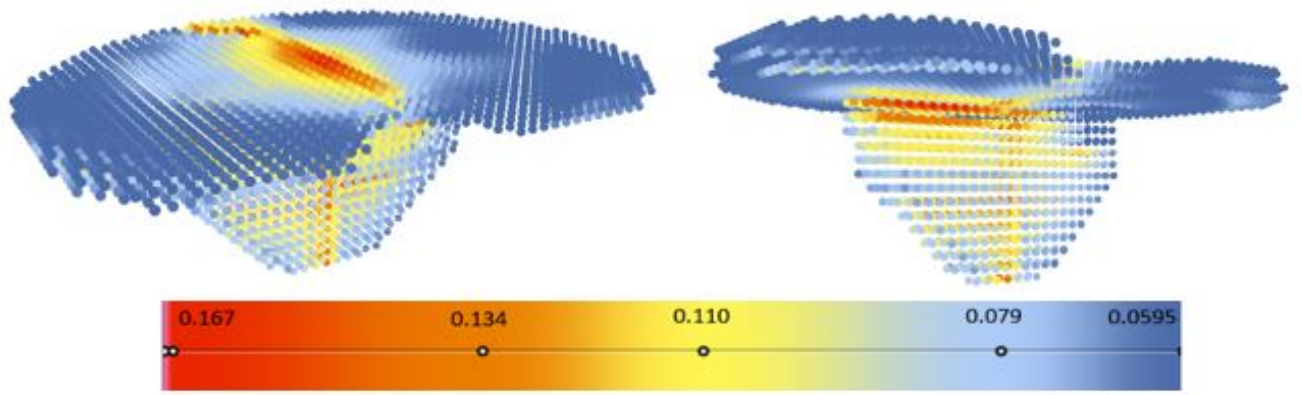
*Stress and failure distribution*

## Stress Limiting Process

- **Convergence criteria:** no yielding subject to 0.2 volume fraction not being exceeded (limit set by additive manufacture capability).
- Results varied with **initial condition** and **iteration number**.
- 4 designs obtained from this process, shown in following slides.



## 'Stress Limited' Result

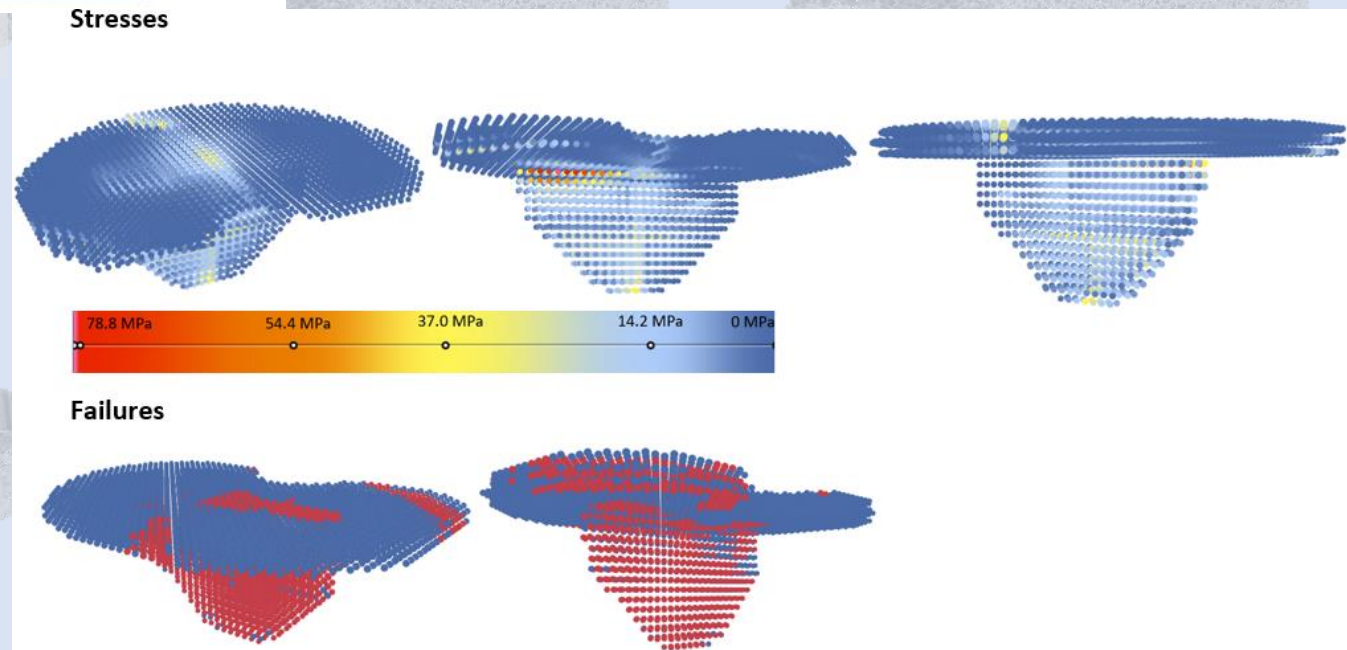


Volume fraction distribution

### 'Stress Limited'

- Initial condition: '*Stiffness Top Op*' design.
- Iteration no.: 'half' – all yield stresses matched to von mises stresses regardless of whether element failure predicted\*.

- Maximum stress:  
78.6 MPa
- Percentage predicted element failures:  
**28.2%**
- Overall average volume fraction:  
0.079
- Percentage average deviation from  
modulus targets:  
**22.8%**

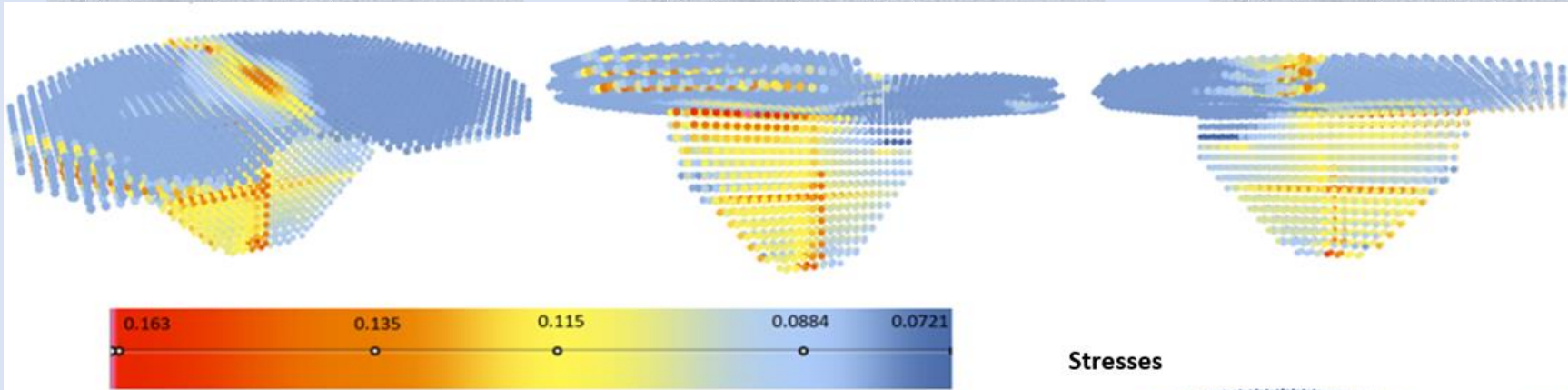


Stresses

Failures

Stress and failure distribution

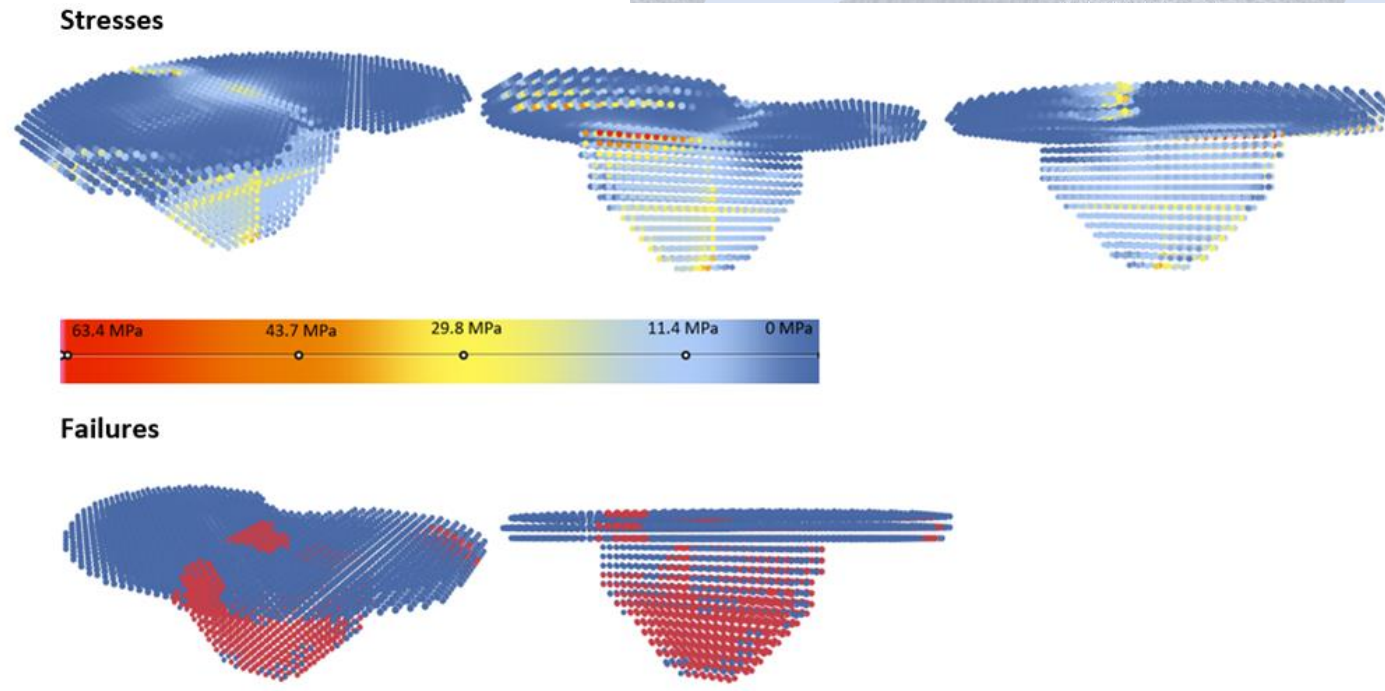
## 'Stress Limited Control' Result



*Volume fraction distribution*

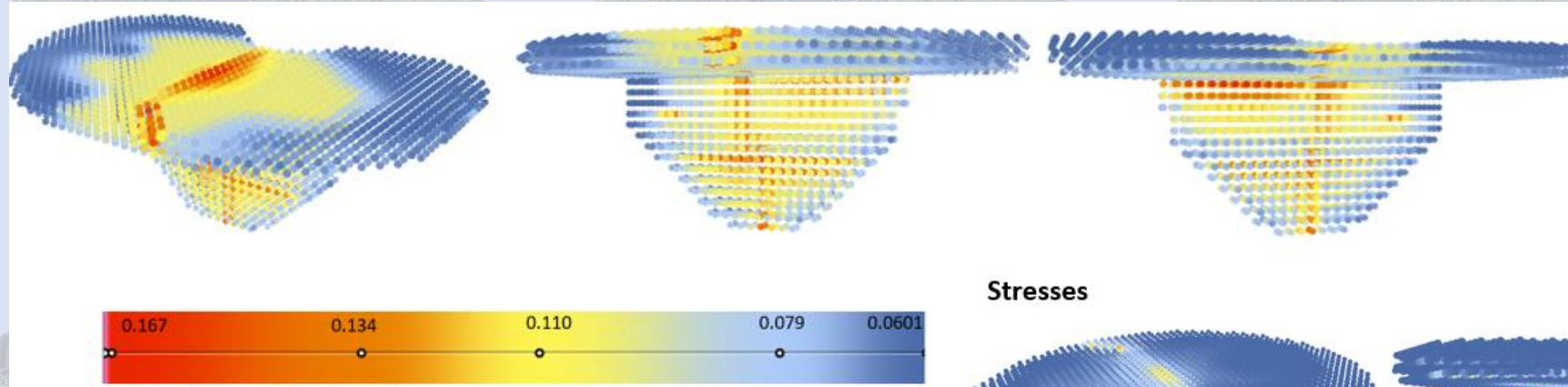
- Maximum stress: 63.4 MPa
- Percentage predicted element failures: 22.2%
- Overall average volume fraction: 0.090
- Percentage average deviation from modulus targets: 12.0%

- 'Stress Limited Control'*
- Initial condition: *'Control'* design.
  - Iteration no.: single iteration.



*Stress and failure distribution*

## 'Stress Limited Top Op' Result

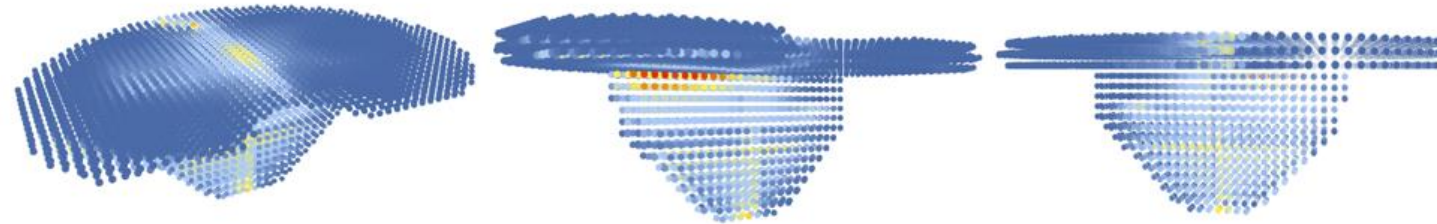


*Volume fraction distribution*

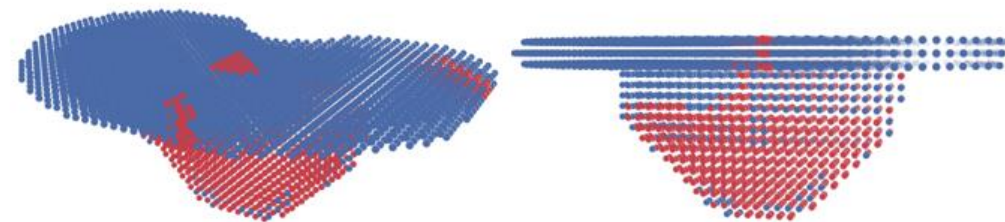
- Maximum stress:  
62.4 MPa
- Percentage predicted element failures:  
21.4%
- Overall average volume fraction:  
0.086
- Percentage average deviation from  
modulus targets:  
20.8%

- 'Stress Limited Top Op'*
- Initial condition: *'Stiffness Top Op'* design.
  - Iteration no.: single iteration.

Stresses



Failures

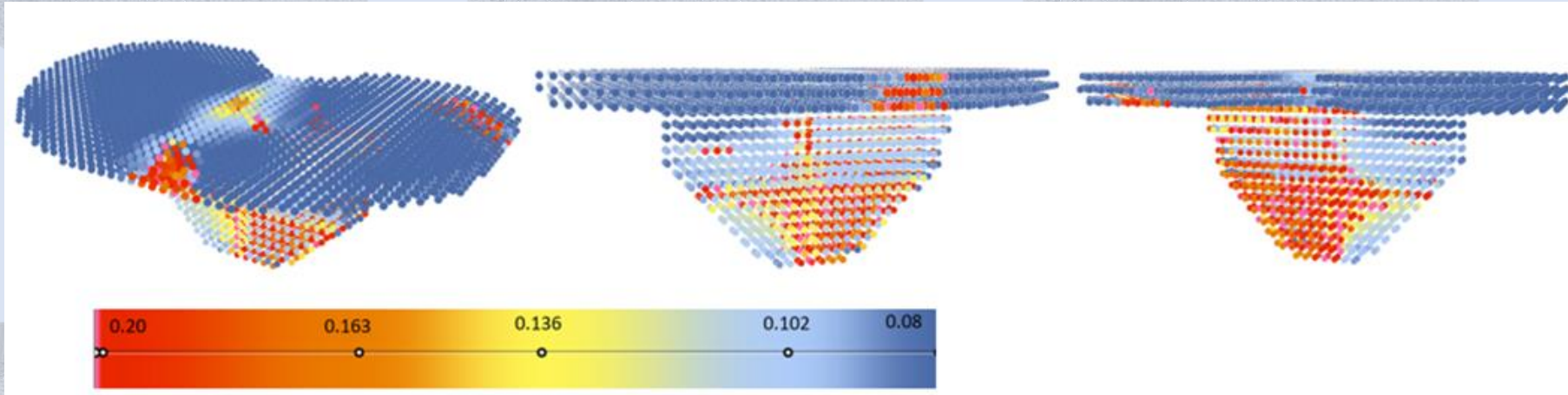


*Stress and failure distribution*

## 'Convergent Stress Limited' Result

### 'Convergent Stress Limited'

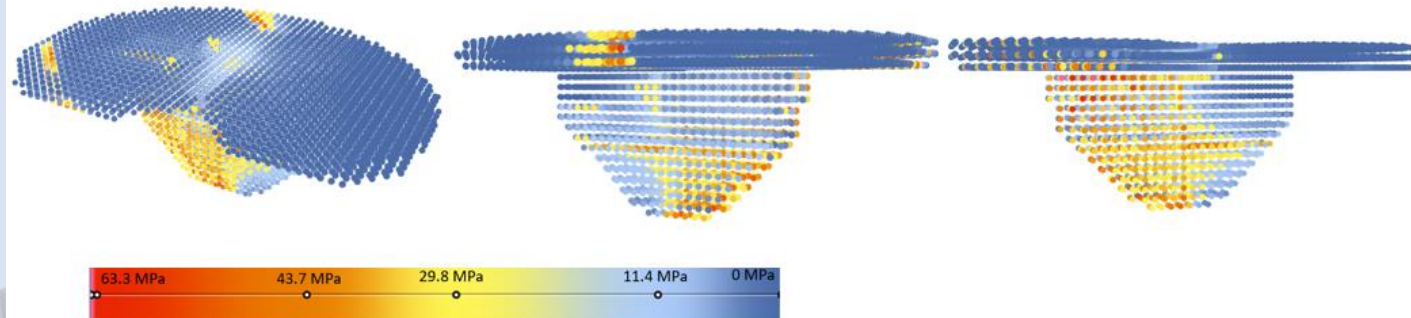
- Initial condition: all elements with 0.08 volume fraction (average overall target for bone regeneration).
- Iteration no.: until convergence



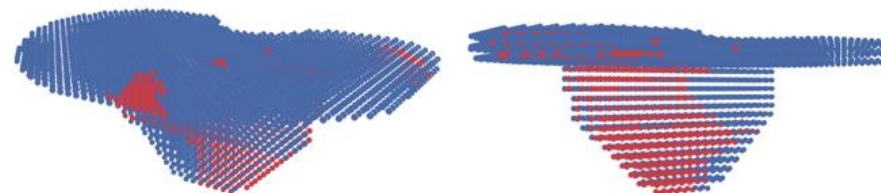
*Volume fraction distribution*

- Maximum stress: 63.3 MPa
- Percentage predicted element failures: 12.0%
- Overall average volume fraction: 0.098
- Percentage average deviation from modulus targets: 95.0%

Stresses



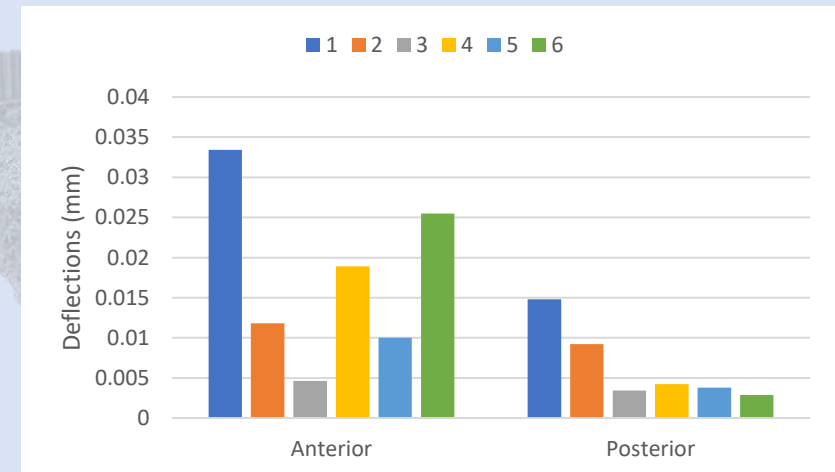
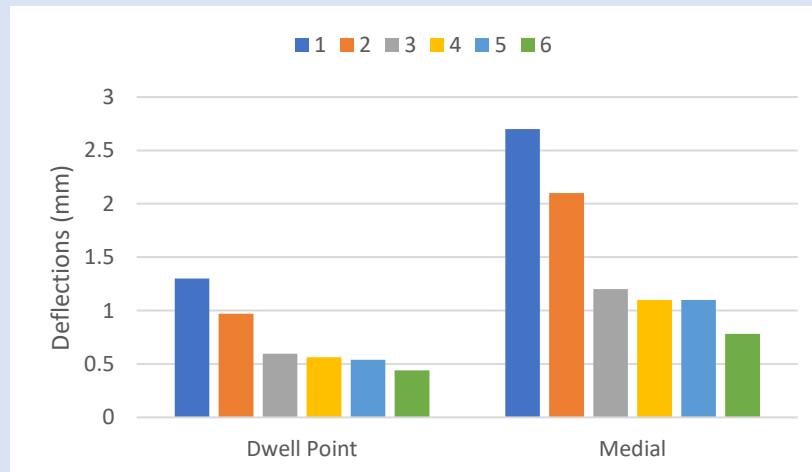
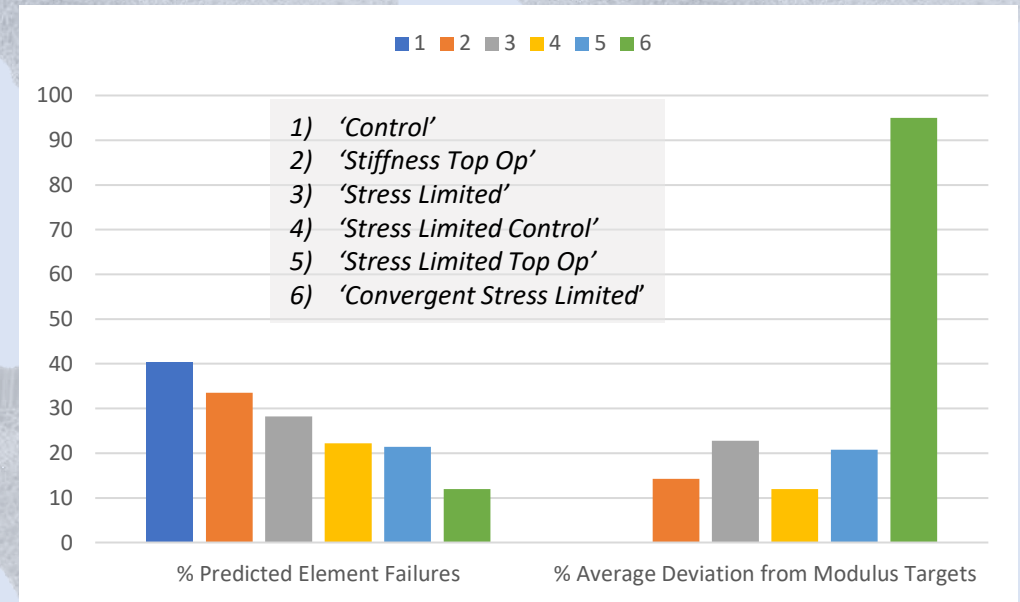
Failures



*Stress and failure distribution*

## Discussion

- Consecutive designs **reduced deflections** at all indicated points (other than anterior) and **improves the failure behaviour**.
- Compromise upon bone remodelling behaviour doesn't increase in same order.
- Out of results obtained, *'Stress Limited Control'* performed best, with a 22.2% predicted element failure (**44.9% improvement upon 'Control'**) and a 12.0% modulus target deviation.





## Stress Constrained Topology Optimisation

- A non-SIMP stress constrained topology optimisation which minimises the average volume fraction subject to stress constraints would be the most optimal solution.
- Optimisation required assembly of a global matrix of **P-norm stress** sensitivities with respect to each element's design variable for each cluster, which was derived analytically:

$$\frac{\partial \sigma_i^{PN}}{\partial x_b} = \sum_{a \in \Omega_i} \frac{\partial \sigma_i^{PN}(x)}{\partial \sigma_a^{VM}} \left( \frac{\partial \sigma_a^{VM}(x)}{\partial \sigma_a} \right)^T \frac{\partial \sigma_a(x)}{\partial x_b}$$

$$\frac{\partial \sigma_i^{PN}}{\partial x_b} = \sum_{a \in \Omega_i} \left( \frac{1}{N_i} \sum_{a \in \Omega_i} (\sigma_a^{VM}(x))^p \right)^{\frac{1}{p}-1} \cdot \frac{1}{N_i} (\sigma_a^{VM}(x))^{p-1} \cdot \begin{pmatrix} \frac{1}{2\sigma_a^{VM}} (2\sigma_{ax} - \sigma_{ay} - \sigma_{az}) \\ \frac{1}{2\sigma_a^{VM}} (2\sigma_{ay} - \sigma_{ax} - \sigma_{az}) \\ \frac{1}{2\sigma_a^{VM}} (2\sigma_{az} - \sigma_{ay} - \sigma_{ax}) \\ \frac{3}{\sigma^{VM}} \sigma_{axy} \\ \frac{3}{\sigma^{VM}} \sigma_{ayz} \\ \frac{3}{\sigma^{VM}} \sigma_{axz} \end{pmatrix}^T \cdot [C^0][B] \left( u_a \frac{\partial E}{\partial \rho} \cdot \frac{H(a,b)}{H_s(a)} + E_a \frac{\partial u_a}{\partial x_b} \right)$$

**P-norm stress:** Elements grouped into 'clusters', and P-norm stress measure then approximates the maximum von mises stress of the cluster.

## Analytical P-norm Stress Sensitivity Verification

- Attempted to verify analytical solution by finding results for the first 5 elements of a 3-cluster cantilever beam and **compare with finite difference approximations**.
- If sensitivities could be verified, would be able to run stress-constrained topology optimisation (given sufficient computational resource).

**TABLE 1 – ANALYTICAL P-NORM SENSITIVITY VALUES**

|           | w.r.t<br>element 1 | w.r.t.<br>element 2 | w.r.t<br>element 3 | w.r.t<br>element 4 | w.r.t<br>element 5 |
|-----------|--------------------|---------------------|--------------------|--------------------|--------------------|
| Cluster 1 | -8.4E+09           | -8.9E+09            | -1.3E+10           | -1.4E+10           | -1.6E+10           |
| Cluster 2 | -5.5E+07           | -5.6E+07            | -4.6E+07           | -4.9E+07           | -3.2E+07           |
| Cluster 3 | -7E+07             | -7.5E+07            | -5.5E+07           | -1.1E+08           | -3.8E+07           |

**Table 2 – Finite difference P-norm sensitivity values with  $\delta = 0.01$**

|           | w.r.t<br>element 1 | w.r.t.<br>element 2 | w.r.t<br>element 3 | w.r.t<br>element 4 | w.r.t<br>element 5 |
|-----------|--------------------|---------------------|--------------------|--------------------|--------------------|
| Cluster 1 | -1.10E+07          | -1.10E+07           | -6860722           | -6860722           | -2645628           |
| Cluster 2 | -277265            | -277265             | -202156            | -202156            | -237028            |
| Cluster 3 | -97015.3           | -97015.3            | -85985.2           | -85985.2           | -247305            |

- Was not able to verify analytical solution – finite difference results all an order of 2-3 smaller. Future work should further investigate the complex P-norm stress sensitivity derivation.

## Conclusions

- The non-penalising stiffness-maximising topology optimisation approach improved yielding behaviour by 16.9% with a 14.3% deviation from modulus targets.
- The looped stress limiting approach offered further improvement in the yielding behaviour, with degree of compromise upon modulus targets being highly sensitive to initial condition and iteration number.
- *'Stress Limited Control'* design resulted from a single iteration upon a fully modulus-matched design and improved failure behaviour by 44.9% with only a 12.0% average deviation from modulus targets.

### Future work:

- Further explore and assess designs that can be made from stress limiting looped process.
- Review P-norm stress sensitivity analytical solution to validate successfully.
- Lab testing on additive manufactured designs to validate performance.

